

SEP 15 2003

Sheet 1 of 12

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006 C1	Application No. 09/698,357
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
		Filing Date October 27, 2000	Group Art Unit 3739

U.S. PATENT DOCUMENTS

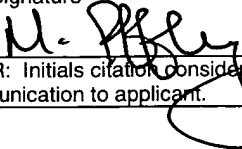
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	A3	4,815,470	03/1989	Curtis et al.		
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	A7	5,080,102	01/1992	Dory		
	A8	5,108,390	04/1992	Potocky et al.		
	A9	5,147,355	09/1992	Friedman et al.		
	A10	5,222,501	06/1993	Ideker et al.		
	A11	5,224,943	07/1993	Goddard		
	AB	5,277,201	01/1994	Stern		
	A12	5,231,995	08/1993	Desai		
	A13	5,254,116	10/1993	Baust et al.		
	A14	5,263,493	11/1993	Avitall		
	A15	5,269,291	12/1993	Carter, Robert E.		
	A16	5,281,215	01/1994	Milder		
	A18	5,323,781	06/1994	Ideker et al.		
	A19	5,324,284	06/1994	Imran		
	AC	5,324,255	06/1994	Passafaro et al.		
	A20	5,334,181	08/1994	Rubinsky et al.		
	A21	5,348,554	09/1994	Imran et al.		
	A22	5,353,783	10/1994	Nakao et al.		
	A23	5,354,258	10/1994	Dory		
	A24	5,385,148	01/1995	Lesh et al.		
	AD	5,396,887	03/1995	Imran		
	AE	5,400,783	03/1995	Pomeranz et al.		
	A25	5,405,376	04/1995	Mulier et al.		
	A26	5,423,807	06/1995	Milder		
	A27	5,423,811	06/1995	Imran et al.		
	AF	5,427,119	06/1995	Swartz		
	A28	5,431,649	07/1995	Mulier et al.		
	A29	5,433,708	07/1995	Nichols et al.		
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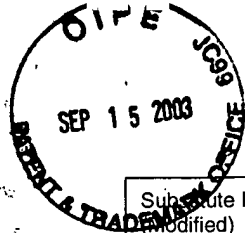
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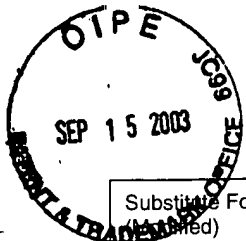
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MP	A31	5,437,651	08/1995	Todd et al.		
	AG	5,443,463	08/1995	Stern et al.		
	AH	5,443,470	08/1995	Stern et al.		
	A32	5,450,843	09/1995	Moll et al.		
	AI	5,452,733	09/1995	Sterman et al.		
	A33	5,462,545	10/1995	Wang et al.		
	A34	5,465,717	11/1995	Imran et al.		
	A35	5,469,853	11/1995	Law et al.		
	A36	5,478,330	12/1995	Imran et al.		
	A37	5,487,385	01/1996	Avitall		
	A38	5,487,757	01/1996	Truckai et al.		
	AJ	5,496,312	03/1996	Klicek		
	AK	5,497,774	04/1996	Swartz et al.		
	A39	5,500,012	03/1996	Brucker et al.		
	AL	5,505,730	04/1996	Edwards		
	A40	5,520,682	05/1996	Baust et al.		
	A41	5,536,267	07/1996	Edwards et al.		
	A42	5,545,195	08/1996	Lennox et al.		
	A43	5,545,200	08/1996	West et al.		
	A44	5,549,661	08/1996	Kordis et al.		
	A45	5,555,883	09/1996	Avitall		
	A46	5,560,362	10/1996	Sliwa, Jr. et al.		
	AM	5,562,720	10/1996	Sterman et al.		
	AN	5,569,241	10/1996	Edwards		
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	AO	5,571,215	11/1996	Sterman et al.		
	A48	5,575,766	11/1996	Swartz et al.		
	AP	5,575,788	11/1996	Baker et al.		
	A49	5,575,810	11/1996	Swanson et al.		
	A50	5,578,007	11/1996	Imran		
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	A52	5,588,432	12/1996	Crowley		
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A57	5,630,837	05/1997	Crowley			
A58	5,637,090	09/1997	McGee et al.			

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Examiner Signature <i>W. Pless</i>	Date Considered 10/27/03
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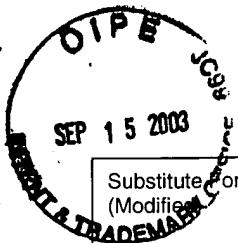
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Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
wp	A59	5,643,197	07/1997	Brucker et al.		
	A60	5,656,029	08/1997	Imran et al.		
	A61	5,658,278	08/1997	Imran et al.		
	A62	5,671,747	09/1997	Connor		
	A63	5,673,695	10/1997	McGee et al.		
	A64	5,676,662	10/1997	Fleischhacker et al.		
	A65	5,676,692	10/1997	Sanghvi et al.		
	A66	5,676,693	10/1997	LaFontaine		
	A67	5,678,550	10/1997	Bassen et al.		
	A68	5,680,860	10/1997	Imran		
	A69	5,681,278	10/1997	Igo et al.		
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	A72	5,688,267	11/1997	Panescu et al.		
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	A82	5,730,074	03/1998	Peter		
	A83	5,730,127	03/1998	Avitall		
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	A85	5,733,280	03/1998	Avitall		
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	A89	5,782,828	07/1998	Chen et al.		
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	A91	5,797,960	08/1998	Stevens et al.		
	A92	5,800,428	09/1998	Nelson et al.		
	A93	5,800,482	09/1998	Pomeranz et al.		
	A94	5,810,802	09/1998	Panescu et al.		
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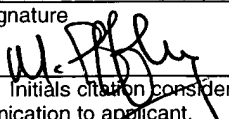
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
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	A98	5,844,349	12/1998	Oakley et al.		
	A99	5,849,028	12/1998	Chen		
	A100	5,871,523	02/1999	Fleischman et al.		
	A101	5,871,525	02/1999	Edwards et al.		
	A102	5,873,845	02/1999	Cline et al.		
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	A119	5,927,284	07/1999	Borst et al.		
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	A131	6,113,592	09/2000	Taylor		
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A134	6,142,994	11/2000	Swanson et al.			
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Substitute Form PTO-1449
(Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.
003-006 C1

Application No.
09/698,357

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
PLESS et al.

Filing Date
October 27, 2000

Group Art Unit
3739

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
WJ	A136	6,161,543	12/2000	Cox et al.		
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	A138	6,217,528	04/2001	Koblish et al.		
	A139	6,231,518	05/2001	Grabek et al.		
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	AQ	6,245,064	06/2001	Lesh et al.		
	A142	6,245,065 B1	06/2001	Panescu et al.		
	A143	6,270,471 B1	08/2001	Hechel et al.		
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	A145	6,296,619 B1	10/2001	Brisken et al.		
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	A147	6,311,692	11/2001	Vaska et al.		
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	A149	6,314,962 B1	11/2001	Vaska et al.		
	A150	6,314,963	11/2001	Vaska et al.		
	A151	6,325,797	12/2001	Stewart et al.		
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	A153	2002/0022839A1	02/2002	Stewart et al.		
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	A156	6,364,876	04/2002	Erb et al.		
	A157	6,368,275 B1	04/2002	Sliwa et al.		
	A158	6,371,955 B1	04/2002	Fuimaono et al.		
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	A160	6,383,151	05/2002	Diederich et al.		
	A161	6,385,472 B1	05/2002	Hall et al.		
	A162	6,398,792	06/2002	O'Connor		
	A163	2002/0087151	07/2002	Mody et al.		
	A164	2002/0087208A1	07/2002	Koblish et al.		
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	A166	6,419,648 B1	07/2002	Vitek et al.		
	A168	6,430,426	08/2002	Avitall		
	A169	6,447,507	09/2002	Bednarek et al.		
	A170	2002/0143326A1	10/2002	Foley et al.		
WJ	A171	6,461,314	10/2002	Pant et al.		
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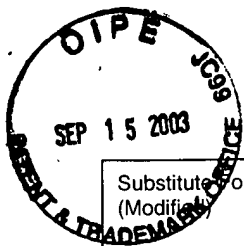
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(37 CFR §1.98(b))							
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	A173	6,461,956 B1	10/2002	Patterson			
	A174	6,464,700 B1	10/2002	Koblisch et al.			
	A175	6,471,698 B1	10/2002	Edwards et al.			
	A176	6,474,340 B1	11/2002	Vaska et al.			
	A177	6,477,396 B1	11/2002	Mest et al.			
	A178	6,484,727 B1	11/2002	Vaska et al.			
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		6,610,055	08/2003	Swanson et al.			

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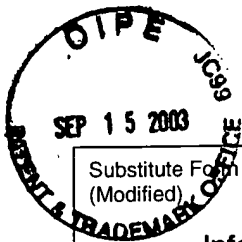
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	B2	AU A-70522/96	11/1994	Australia			
	B3	WO 95/10318	04/1995	PCT			
	B4	WO 95/10319	04/1995	PCT			
	AR	WO 95/10321	04/1995	PCT			
	AS	WO 95/10978	04/1995	PCT			
	B5	WO 95/17222	06/1995	PCT			
	B6	WO 95/15115	06/1995	PCT			
	B7	WO 95/30380A	11/1995	PCT			
	B8	GB 2 289 510A	11/1995	UK			
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	AU	WO 96/39966	12/1996	PCT			
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	B15	WQ 98/24488	06/1998	PCT			
B16	WO 98/26724	06/1998	PCT				
AZ	WO 97/37607	10/1997	PCT				
AAA	WO 97/41793	11/1997	PCT				
ABB	WO 97/45156	12/1997	PCT				
ACC	WO 98/17187	04/1998	PCT				
B17	WO 98/37822	09/1998	PCT				
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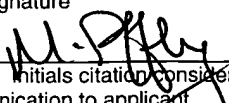


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	B28	WO 01/03594A1	01/2001	PCT			
	B29	WO 01/05305A1	01/2001	PCT			
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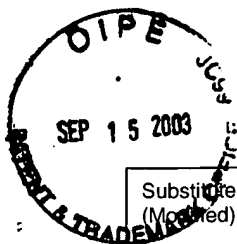
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EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)
wp	C1	AFx, inc., "FLEX Surgical Ablation Device: Instructions for Use," Document No. 900044 Rev A; March 28, 2000: 1-9
	C2	AFx, inc., "AFx Microwave Generator, Series 1000; User's Manual," Document No. 900067/A/1310, (2000): 1-13
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	AEE	Avitall et al., "A Thoracoscopic Approach to Ablate Atrial Fibrillation Via Linear Radiofrequency Lesion Generation on the Epicardium of Both Atria," PACE, April 1996;19(Part II):626,#241
	C5	Boston Scientific, EP Technologies, "Cobra® Electrosurgical Unit, Operator's Manual: 4810 & 4811," Document No. 11292-001 EAW Ver AC, Feb 2000; pp. 1-1 thru 9-2
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	C8	Caccitolo et al., "Open-Heart Endocardial Radiofrequency Ablation:..." <u>J of Surgical Research</u> , (2001); 97: 27-33
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	C10	Cox et al., "The Surgical Treatment of Atrial Fibrillation, IV Surgical Technique," <u>J Thorac Cardiovasc Surg</u> , 1991; 101: 584-592.
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	C12	Demazumder et al., "Comparison of Irrigated Electrode Designs for Radiofrequency Ablation of Myocardium," <u>J of Interventional Cardiac Electrophysiology</u> 5, (2001): 391-400
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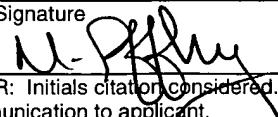


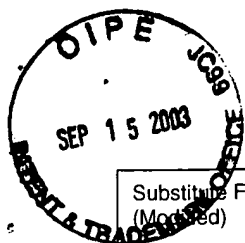
Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 003-006 C1		Application No. 09/698,357	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))				Applicant PLESS et al.			
				Filing Date October 27, 2000		Group Art Unit 3739	
EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)					
wp	C16	He et al., "Application of Ultrasound Energy for Intracardiac Ablation of Arrhythmias," <i>The European Society of Cardiology</i> , 1995;16:961-966.					
	C17	Hunt, John W., "Application of Microwave, Ultrasound and Radiofrequency Heating," <i>Natl Cancer Inst Monogr</i> , (1982); 61: 447-456					
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	C26	Ohkubo et al., "Experimental Study of Catheter Ablation Using Ultrasound Energy in Canine and Porcine Hearts," <i>Jpn Heart J</i> , (1998); 39: 399-409					
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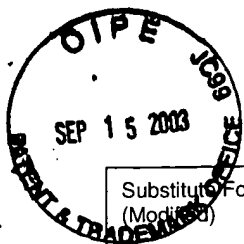
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	C35	Thomas et al., "Microwave Ablation of Myocardial Tissue:..." <u>J Cardiovasc Electrophysiol</u> , (1999); Vol 10: 72-78					
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mf		C42		Wonnell et al., "Evaluation of Microwave and Radio Frequency Catheter Ablation in a Myocardium-Equivalent Phantom Model," <i>IEEE Transactions on Biomedical Engineering</i> , 1992;39(10):1086-1095.			
mf		C43		Zimmer et al., "The Feasibility of Using Ultrasound for Cardiac Ablation," <i>IEEE Transactions on Biomedical Engineering</i> , 1995;42(9):891-897.			

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